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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Patent Application Transmittal

1036 U.S. PTO  
09/845592  
04/30/01

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1036 U.S. PTO

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231  
Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: Kevin C. Griffin et al.

For: CLUSTER RESOURCE ACTION IN CLUSTERED COMPUTER SYSTEM  
INCORPORATING PREPARE OPERATION

**ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION**

**ASSIGNEE RESIDENCE: ARMONK, NEW YORK**

Enclosed are:

- ☒ 28 Pages of Specification and 1 Abstract
- ☒ 4 Sheets of Drawings
- ☒ A Declaration and Power of Attorney
- ☐ An Information Disclosure Statement and form PTO-1449
- ☐ A certified copy of Japanese Application
- ☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504

The filing fee has been calculated as follows:

For:	No. Filed	No. Extra
Basic Fee		
Total Claims	28 -20 =	8
Indep. Claims	5 -3 =	2
<input type="checkbox"/> Multiple Dependent Claim Presented		

Other Than Small Entity

Rate	Fee
	\$ 710.00
x \$18.00=	144.00
x \$80.00=	160.00
\$270.00	\$ 0.00
<b>TOTAL</b>	<b>\$1,014.00</b>

## EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EK595506310US

Date: April 30, 2001

I hereby certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

*Steven W. Roth*  
Steven W. Roth

Patent Application Transmittal  
Docket No. ROC920010001US1

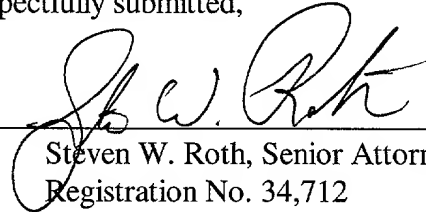
09845592-043001

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- ☒ Please charge Deposit Account No. 09-0465 in the amount of **\$1,014.00**. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

By

  
Steven W. Roth, Senior Attorney  
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Date: April 30, 2001

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